

JC525-U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

10/07/01  
02/14/02

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

|  |  |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status<br/><i>(see 37 CFR §1.27)</i></p> <p>3. <input checked="" type="checkbox"/> Specification      Total Pages [9 + abstract]<br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC §113)      Total Sheets [8]</p> <p>5. Oath or Declaration      Total pages [1]</p> <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))<br/><i>(for continuation/divisional with Box 18 complete)</i></li> </ol> <p>i. <input type="checkbox"/> <u><b>DELETION OF INVENTORS</b></u><br/>Signed statement attached deleting inventor(s)<br/>named in the prior application,<br/>see 37 CFR §§1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR §1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ol> |
|--|--|

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement       Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure       Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. /

Group/Art Unit: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|   |  |
|---|--|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here<br>27752) |
|---|--|

|                   |   |                                   |                   |
|-------------------|---|-----------------------------------|-------------------|
| Name (Print/Type) | Jason J. Camp   | Registration No. (Attorney/Agent) | 44,582            |
| Signature         |  | Date                              | February 14, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 740.00**Complete if Known**

|                             |                   |
|-----------------------------|-------------------|
| <i>Application Number</i>   | NOT YET ASSIGNED  |
| <i>Confirmation Number</i>  | NOT YET ASSIGNED  |
| <i>Filing Date</i>          | February 14, 2002 |
| <i>First Named Inventor</i> | R. L. Mangin      |
| <i>Examiner Name</i>        | NOT YET ASSIGNED  |
| <i>Group/Art Unit</i>       | NOT YET ASSIGNED  |
| <i>Attorney Docket No.</i>  | CM2502            |

**METHOD OF PAYMENT (check one)**

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480  
 Deposit Account Name The Procter & Gamble Company  
 [X] Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17

**FEE CALCULATION****1. BASIC FILING FEE – Large Entity**

| Code (\$) | Fee Description        | Fee Paid |
|-----------|------------------------|----------|
| 101 740   | Utility filing fee     | [740]    |
| 106 330   | Design filing fee      | [ ]      |
| 108 740   | Reissue filing fee     | [ ]      |
| 114 160   | Provisional filing fee | [ ]      |

**SUBTOTAL (1)** (\$)[740]**2. EXTRA CLAIM FEES – Large Entity**

|                    | Extra<br>Claims   | Fee from<br>Below | Fee<br>Paid |
|--------------------|-------------------|-------------------|-------------|
| Total Claims       | [14 - 20** = [0]] | x [18]            | = [0]       |
| Independent Claims | [1] - 3** = [0]   | x [84]            | = [0]       |
| Multiple Dependent | [ ]               | = [ ]             |             |

\*\* or number previously paid, if greater; For Reissues, see below

| Code (\$) | Fee Description   |
|-----------|---|
| 103 18    | Claims in excess of 20                                  |
| 102 84    | Independent claims in excess of 3                       |
| 104 280   | Multiple dependent claim, if not paid                   |
| 109 84    | **Reissue independent claims over original patent       |
| 110 18    | **Reissue claims in excess of 20 & over original patent |

**SUBTOTAL (2)** (\$)[0]**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Code (\$)                 | Fee Description   | Fee Paid |
|---------------------------|---|----------|
| 105 130                   | Surcharge-late filing fee or oath   | [ ]      |
| 127 50                    | Surcharge-late provisional filing fee or cover sheet  | [ ]      |
| 139 130                   | Non-English specification   | [ ]      |
| 147 2,520                 | For filing a request for <i>ex parte</i> reexamination  | [ ]      |
| 112 920*                  | Requesting publication of SIR prior to Examiner's action  | [ ]      |
| 113 1,840*                | Requesting publication of SIR after Examiner's action   | [ ]      |
| 115 110                   | Extension for reply within 1 <sup>st</sup> month  | [ ]      |
| 116 400                   | Extension for reply within 2 <sup>nd</sup> month  | [ ]      |
| 117 920                   | Extension for reply within 3 <sup>rd</sup> month  | [ ]      |
| 118 1,440                 | Extension for reply within 4 <sup>th</sup> month  | [ ]      |
| 128 1,960                 | Extension for reply within 5 <sup>th</sup> month  | [ ]      |
| 119 320                   | Notice of Appeal  | [ ]      |
| 120 320                   | Filing a brief in support of an appeal  | [ ]      |
| 121 280                   | Request for oral hearing  | [ ]      |
| 138 1,510                 | Petition to institute a public use proceeding   | [ ]      |
| 140 110                   | Petition to revive - unavoidable  | [ ]      |
| 141 1,280                 | Petition to revive - unintentional  | [ ]      |
| 142 1,280                 | Utility issue fee (or reissue)  | [ ]      |
| 143 460                   | Design issue fee  | [ ]      |
| 122 130                   | Petitions to the Commissioner   | [ ]      |
| 123 50                    | Petitions related to provisional applications   | [ ]      |
| 126 180                   | Submission of Information Disclosure Statement  | [ ]      |
| 146 740                   | Filing a submission after final rejection (37 CFR § 1.129(a))   | [ ]      |
| 149 740                   | For each additional invention to be examined (37 CFR § 1.129(b))  | [ ]      |
| 179 740                   | Request for Continued Examination (RCE)   | [ ]      |
| 169 900                   | Request for expedited examination of a design application   | [ ]      |
| 091 1280                  | Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c) | [ ]      |
| Other fee (specify) _____ |   | [ ]      |
| Other fee (specify) _____ |   | [ ]      |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL(3)** (\$)[0]**SUBMITTED BY**

|                   |   |                                      |        |                          |
|-------------------|---|--------------------------------------|--------|--------------------------|
| Name (Print/Type) | Jason J. Camp   | Registration No.<br>(Attorney/Agent) | 44,582 | Complete (if applicable) |
| Signature         |  |                                      |        | Telephone (513) 627-8150 |

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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